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FACSIMILE COVER SHEET

May 8, 2006

TO: Examiner Ella Colbert

COMPANY: United States Patent and Trademark Office

FROM: Eric L. Tanezaki

OUR REF: GLADS-001A **YOUR REF:** Application Serial No.:
09/884,303

FAX NO.: (571) 273-8300

NO. OF PAGES INCLUDING THIS COVER SHEET 9

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PTO/SB/21 (09-04)

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 09/884,303

Filing Date June 19, 2001

First Named Inventor Garry D. Gladstone

Art Unit 3624

Examiner Name Ella Colbert

Attorney Docket Number GLADS-001A

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ENCLOSURES (Check all that apply)☐ Fee Transmittal Form☐ Fee Attached☒ Amendment/Reply☒ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Reply to Missing Parts/
Incomplete Application☐ Reply to Missing Parts
under 37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a
Provisional Application
☐ Power of Attorney, Revocation
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) _____☐ Landscape Table on CD☐ After Allowance Communication to TC☐ Appeal Communication to Board
of Appeals and Interferences☐ Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please identify
below):

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Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name STETINA BRUNDA GARRED & BRUCKER

Signature *Eric L. Tanezaki*

Printed name Eric L. Tanezaki

Date May 8, 2006

Reg. No. 40,196

CERTIFICATE OF TRANSMISSION/MAILING

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Signature

Abby Christensen

Typed or printed name Abby Christensen

Date

5-8-2006

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Application No.: 09/884,303
Response to Office Action of March 14, 2006
Attorney Docket: GLADS-001A

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Garry D. Gladstone)	Confirmation No.	4813
)		
Serial No.:	09/884,303)	Art Unit:	3624
)		
Filed:	June 19, 2001)	Examiner:	Ella Colbert
)		
For:	METHOD AND SYSTEM FOR)		
	AUTOMATED TRADING OF)		
	FINANCIAL INSTRUMENTS)		

RESPONSE TO FINAL OFFICE ACTION

Via Facsimile Only (571) 273-8300

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Dear Sir:

In response to the Final Office Action of March 14, 2006, please amend the above-identified application as follows: